

PU01-01150



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Yamamura et al.

Serial No.: 09/987,453

Group Art Unit: 2615

Filing Date: November 14, 2001

Examiner: Unknown

For: INFORMATION RECORDING AND REPRODUCING APPARATUS WITH A RING
BUFFER AND METHOD FOR MONITORING RING BUFFER

Assistant Commissioner of Patents
Washington, D.C. 20231

SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Yamamura et al. to Pioneer Corporation and Shizuoka Pioneer Corporation, for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean McGinn", with a stylized flourish at the end.

Sean M. McGinn

Registration No. 34,386

Date: January 25, 2002
McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

JAN 25 2002
TRADEMARK OFFICE
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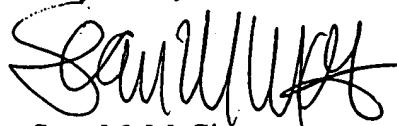
SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated November 27, 2001 (copy enclosed), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$994 to cover the \$740 application filing fee, \$84 for one (1) independent claim over 3, the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn
Registration No. 34,386

Date: January 25, 2002
McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
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COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/987,453	11/14/2001	Gaku Yamamura	PU01-01150

21254
MCGINN & GIBB, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA, VA 22182-3817

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CONFIRMATION NO. 6751

FORMALITIES LETTER



OC000000007126482

Date Mailed: 11/27/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 ▪ \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/30/2002 BABRAHA1 00000064 09987453

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	84.00 OP

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